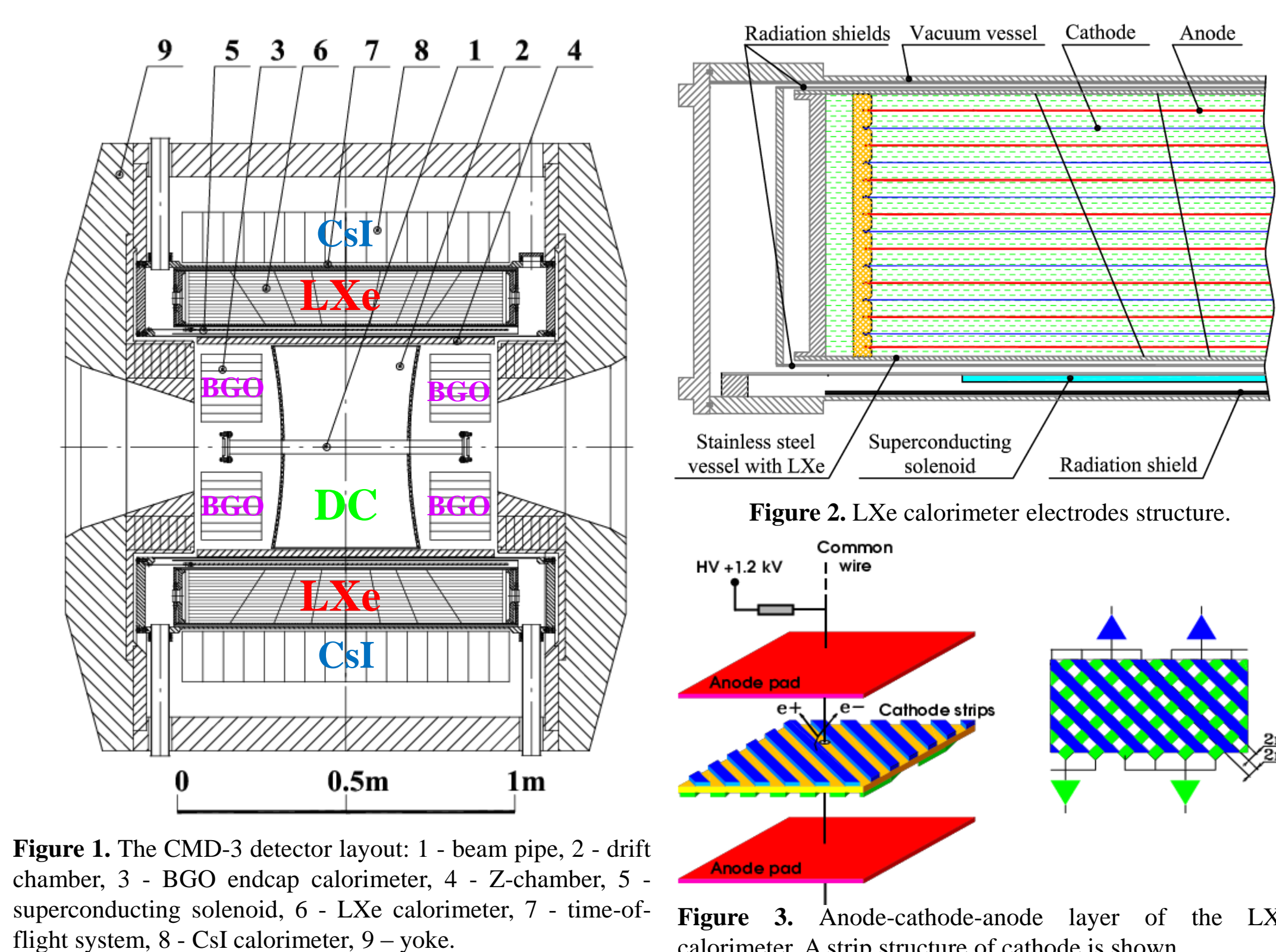


**Abstract**

This report describes a currently being developed procedure of the charged particle identification for CMD-3 detector, installed at the VEPP-2000 collider. The procedure is based on the application of the boosted decision trees classification method, and uses as input variables, among others, the specific energy losses of charged particle in the layers of the liquid Xenon calorimeter. The efficiency of the procedure is demonstrated by an example of the extraction of events of  $e^+e^- \rightarrow K^+K^-(\gamma)$  process in the center of mass energy range from 1.28 to 1.65 GeV.

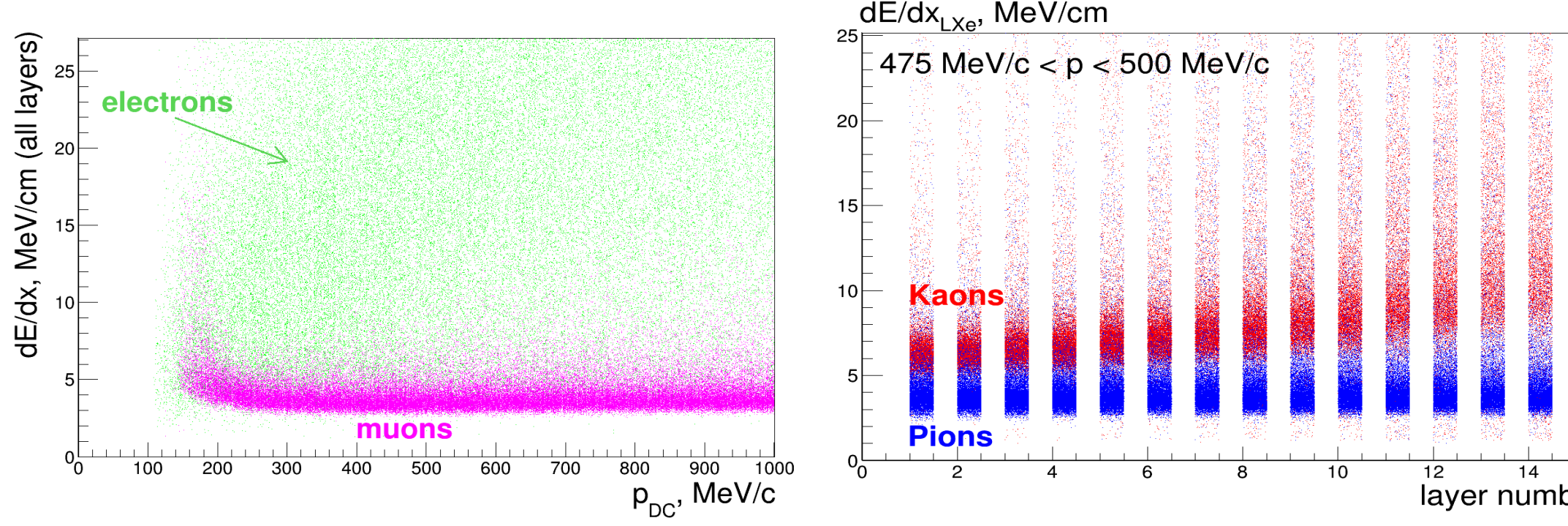
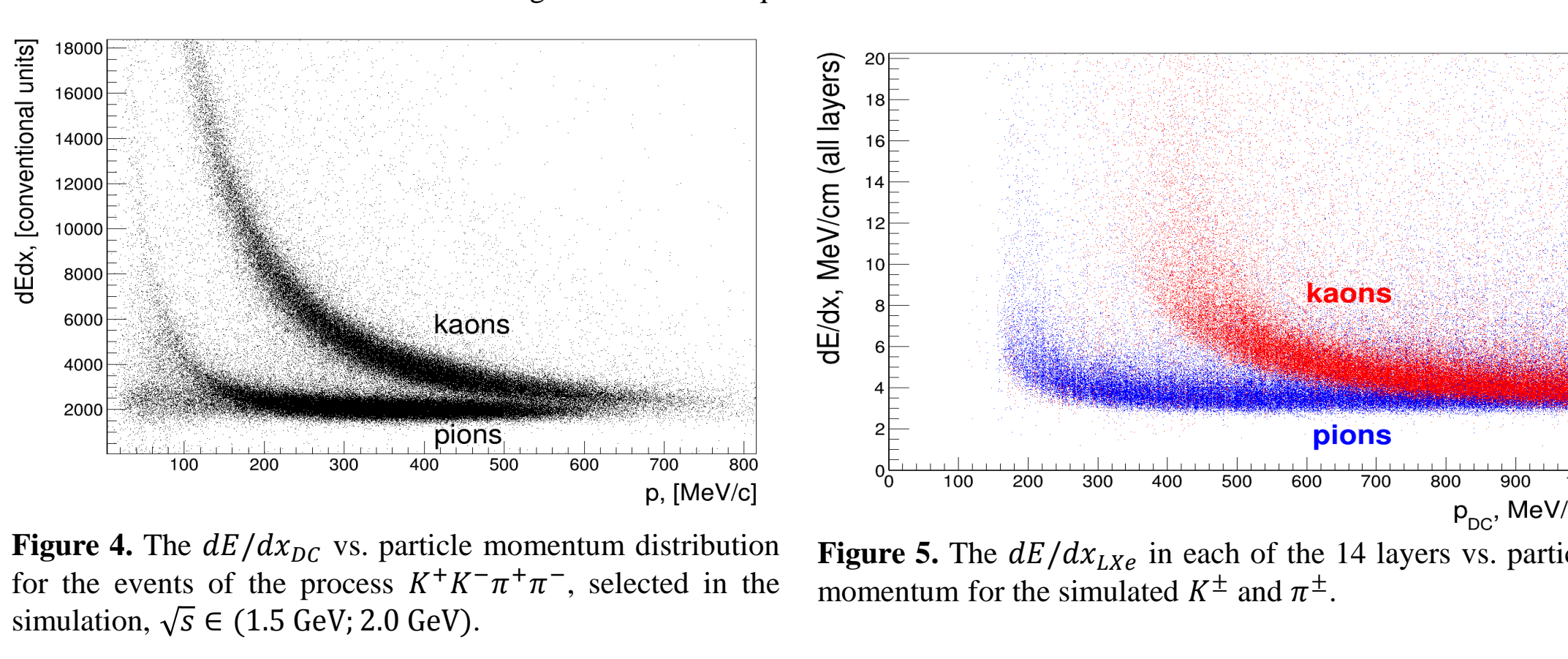
**1. LXe calorimeter of the CMD-3 detector**



**Figure 1.** The CMD-3 detector layout: 1 - beam pipe, 2 - drift chamber, 3 - BGO endcap calorimeter, 4 - Z-chamber, 5 - superconducting solenoid, 6 - LXe calorimeter, 7 - time-of-flight system, 8 - CsI calorimeter, 9 - yoke.  
**Figure 2.** LXe calorimeter electrodes structure.  
**Figure 3.** Anode-cathode-anode layer of the LXe calorimeter. A strip structure of cathode is shown.

**2.  $dE/dx_{LXe}$  vs.  $dE/dx_{DC}$ : general considerations**

- In this report we will focus on the charged kaons identification. The separation of the single kaons from pions/muons using only  $dE/dx_{DC}$  can be reliably performed only for the particles momenta lower than 450 MeV/c (see Fig. 4). For the  $K^+K^-$ ,  $K^+K^-\pi^0$ ,  $K^+K^-\pi^+\pi^-$  final states at high c.m. energies it is hard or impossible to obtain sufficiently pure sample of signal events using only  $dE/dx_{DC}$  and the energy-momentum conservation. Hence the  $dE/dx_{LXe}$ -based PID should be used.
- Distributions of the  $dE/dx_{LXe}$  in seven LXe double layers depending on the particle momentum for the simulated single  $e^+$ ,  $\mu^+$ ,  $\pi^+$ ,  $K^+$  are shown in Figs. 5-6. These are the major  $dE/dx_{DC-LXe}$  differences:



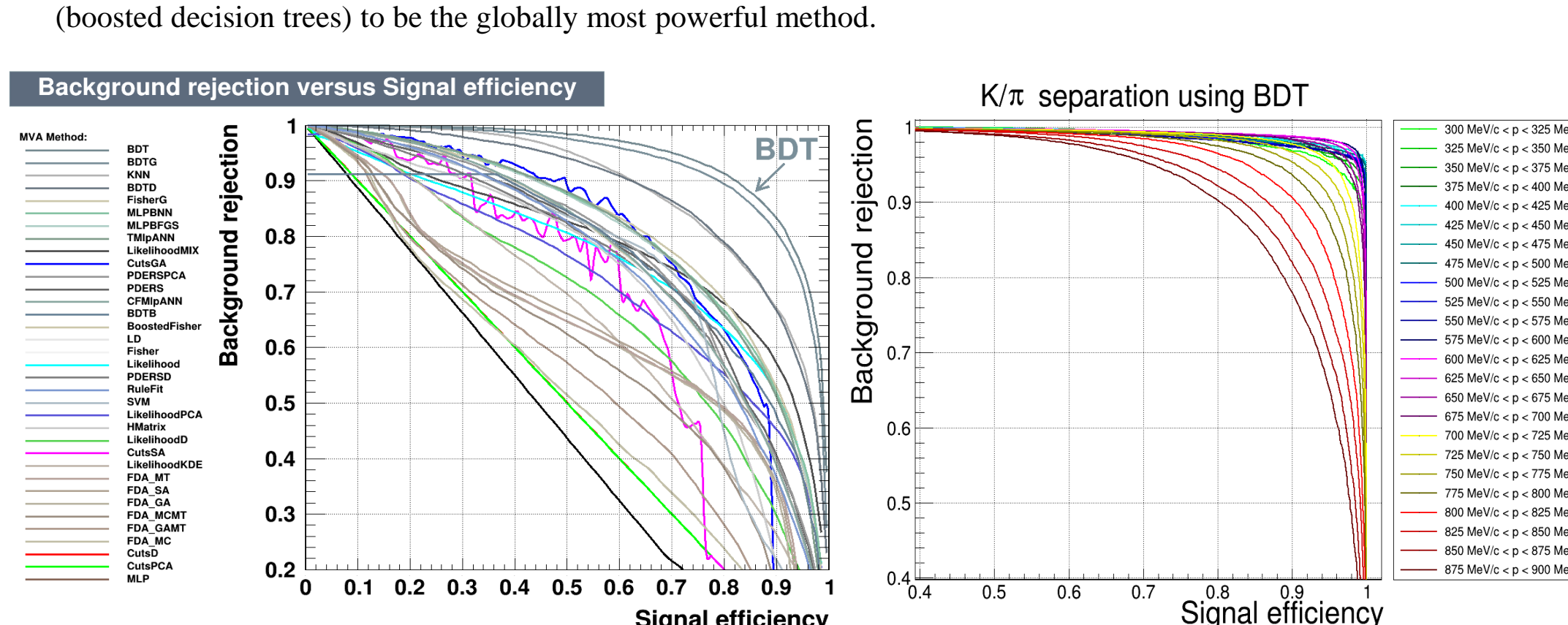
**3. General idea of the charged PID procedure**

- The idea of the LXe-based PID is the following:
- For each DC-track with curvature, small enough to hit the particle in LXe, we calculate 10 values of the responses ( $R$ ) of the multivariate classifiers (taken from TMVA package), trained for the optimal separation of particular pairs of particles in the particular momentum  $p$  and  $d_{LXe}$  parameter ranges  $\delta p_i$  and  $\delta d_{LXe,j}$  (see table below and Fig. 8).
- For the training of the classifiers we simulate  $\sim 5 \cdot 10^6$  events with single  $e^\pm$ ,  $\mu^\pm$ ,  $\pi^\pm$ ,  $K^\pm$ ,  $p^\pm$ , having the momentum and  $d_{LXe}$  parameter uniformly distributed in the ranges from 0.04 GeV to 1.1 GeV and from 1.0 to 1.5 correspondingly. In total we have 4400 classifiers to be trained with the 14 values of  $dE/dx_{LXe}$  as the input variables.

	$e^\pm$	$\mu^\pm$	$\pi^\pm$	$K^\pm$
$\mu^\pm$	$R_{i,j}(\mu^\pm/e^\pm)$	$R_{i,j}(\mu^\pm/\mu^\pm)$	-	-
$K^\pm$	$R_{i,j}(K^\pm/e^\pm)$	$R_{i,j}(K^\pm/\mu^\pm)$	$R_{i,j}(K^\pm/\pi^\pm)$	-
$p^\pm$	$R_{i,j}(p^\pm/e^\pm)$	$R_{i,j}(p^\pm/\mu^\pm)$	$R_{i,j}(p^\pm/\pi^\pm)$	$R_{i,j}(p^\pm/K^\pm)$

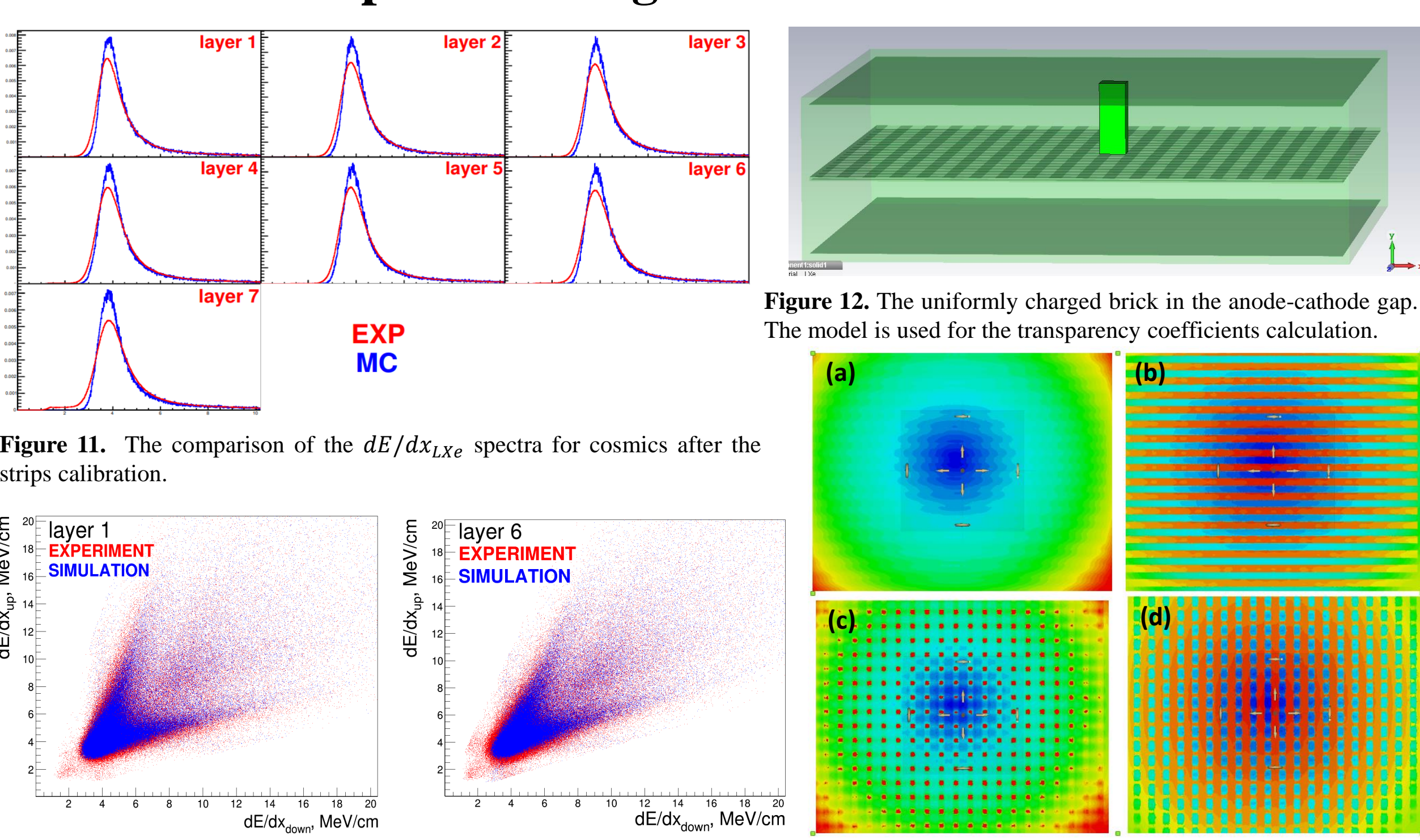
**Figure 8.** The distribution of the particle momenta vs.  $d_{LXe}$  for simulated  $\mu^+$  (training sample). The limits of  $\delta p_i$ ;  $\delta d_{LXe,j}$  cells, inside which particular classifiers are trained are also shown.

**4. Selection of the best classifier**



**Figure 9.** The dependence of the background rejection efficiency on the signal selection efficiency for  $K/\pi$  separation at the momenta 870 MeV/c for different classification methods trained and tested.  
**Figure 10.** The dependence of the BDT background rejection efficiency on the signal selection efficiency for the  $K/\pi$  separation in the different momentum ranges from 300 to 900 MeV/c.

**5. Detector response tuning**



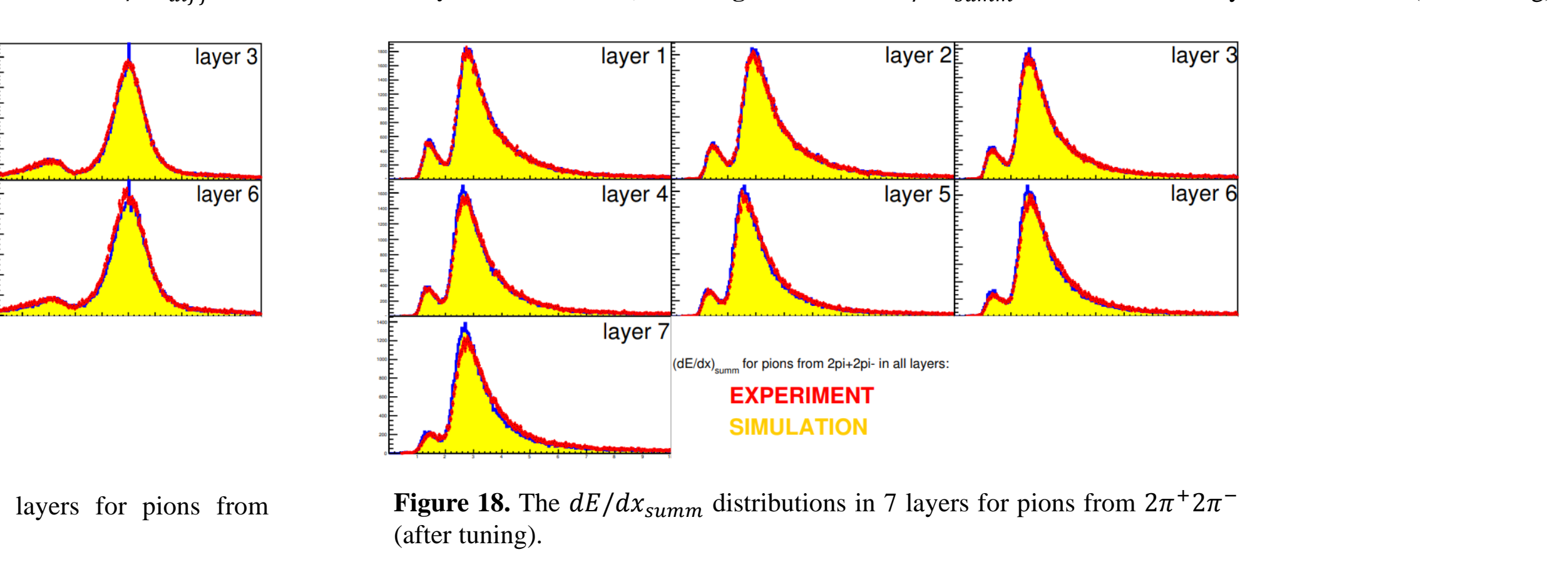
**Figure 11.** The comparison of the  $dE/dx_{LXe}$  spectra for cosmetics after the strips calibration.  
**Figure 12.** The uniformly charged brick in the anode-cathode gap. The model is used for the transparency coefficients calculation.  
**Figure 13.** The distributions of the y-component of the D-field above the upper strips (a), under upper strips (b), above the lower strips (c), under lower strips (d).

Since the simulated samples of  $e^\pm$ ,  $\mu^\pm$ ,  $\pi^\pm$ ,  $K^\pm$ ,  $p^\pm$  are used for BDT training, the correctness of the simulation should be verified. The comparison of  $dE/dx_{LXe}$  spectra for cosmetics in the experiment and simulation is shown in Fig. 11 (after the strips calibration). One can see, that experimental spectra are wider, than simulated. The reason for that is, presumably, the complex structure of the cathode strips, see Fig. 3. The charge, induced (in simulation) on the strip by the ionization element, is calculated in the approximation of the charge between two infinite conductive planes, i.e. complex cathode structure is neglected.

The influence of the ionization in the upper (lower) cathode-anode layer on the strips in lower (upper) layer in simulation is described by special parameter – transparency coefficient  $T_{i=1,7}$ , specific for each layer. To obtain the values of transparency we reproduced the geometry of 7 anode-cathode-anode layers in the CST electromagnetic processes simulation package. We put the uniformly charged brick (with a dielectric permittivity as that of the liquid xenon and with the transverse dimensions equal to the period of cathode structure) in the cathode-anode gap. We simulate the electric field distribution and calculate  $T_i$  as a ratio of the total charges, induced on the lower and upper strips. The obtained with 5% precision transparency values are  $T_1=0.290$ ,  $T_2=0.239$ ,  $T_3=0.371$ ,  $T_4=0.353$ ,  $T_5=0.397$ ,  $T_6=0.365$ ,  $T_7=0.357$ . Their correctness can be demonstrated by the good agreement of the inclination of bands in the  $dE/dx_{LXe}^{up}$ ;  $dE/dx_{LXe}^{down}$  distributions in simulation and experiment, see Fig. 14.

Further it will be convenient for us to use the half-sum and half-difference of the “decorrelated”  $dE/dx_{LXe}$ , measured by the upper and lower strips. The major simulation-experiment difference (for cosmetics) is manifested in the broadening of the  $dE/dx_{diff}$  spectra, see Fig. 14. Our hypothesis is that the reason is in the redistribution of induced charge between strips, caused by the anticorrelated variation of the transparency coefficient along the average values, obtained from CST. We account for the broadening in  $dE/dx_{diff}$  by introducing additional Gaussian noise, see Fig. 15, and use some (much smaller) noise to fit the  $dE/dx_{sum}$  spectra, Fig. 16.

Finally, we can check the agreement of the  $dE/dx_{sum}$  and  $dE/dx_{diff}$  spectra for pions from the  $e^+e^- \rightarrow 2\pi^+2\pi^-$  process, see Figs. 17-18. We see the agreement, good enough for MC-based BDT training.

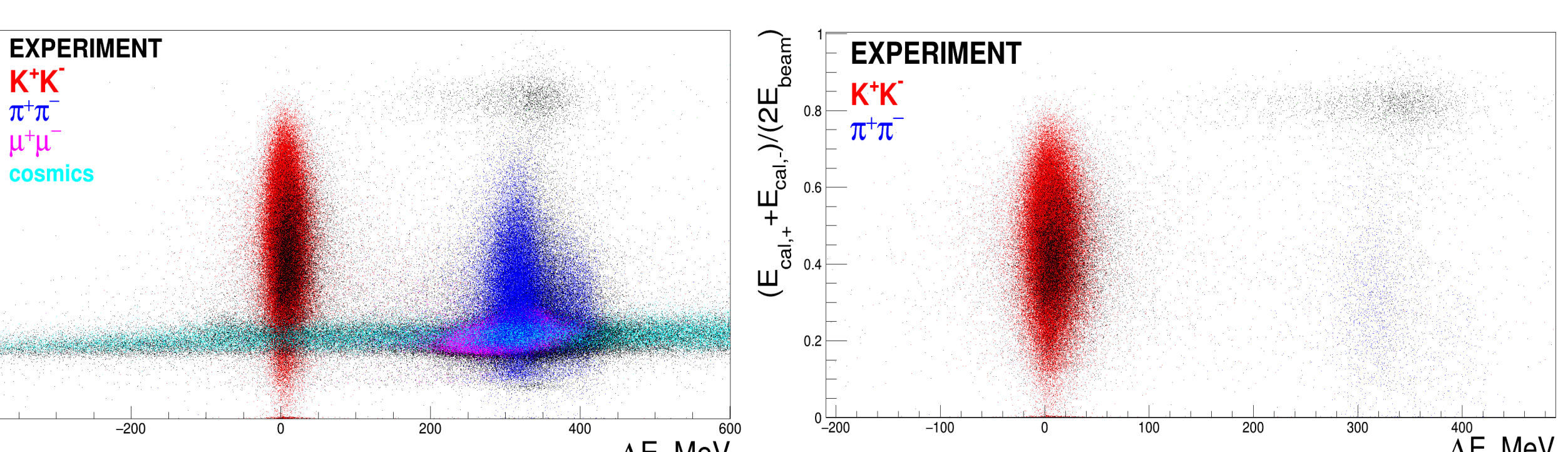


**Figure 14.** The  $dE/dx_{diff}$  distributions in 7 layers for cosmetics (before tuning).  
**Figure 15.** The  $dE/dx_{diff}$  distributions in 7 layers for cosmetics (after tuning).  
**Figure 16.** The  $dE/dx_{sum}$  distributions in 7 layers for cosmetics (after tuning).

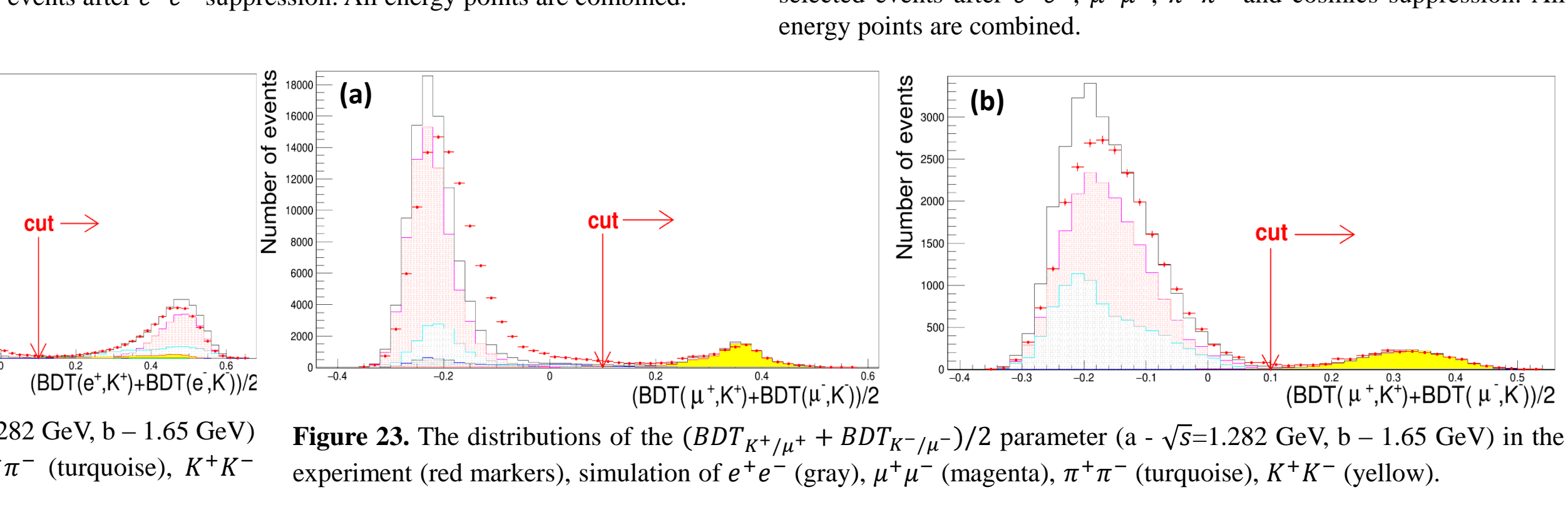
The  $dE/dx_{diff}$  spectra for cosmetics in all layers:  $(dE/dx)_{diff}^{(i)} = \frac{1}{1+T_i} \begin{bmatrix} 1 & T_i \\ T_i & 1 \end{bmatrix} \cdot \begin{bmatrix} (dE/dx)_{up}^{(i)} \\ (dE/dx)_{down}^{(i)} \end{bmatrix}$   
 The  $dE/dx_{sum}$  spectra for cosmetics in all layers:  $(dE/dx)_{sum}^{(i)} = \frac{1}{2(1-T_i)} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \cdot \begin{bmatrix} 1 & -T_i \\ -T_i & 1 \end{bmatrix} \cdot \begin{bmatrix} (dE/dx)_{up}^{(i)} \\ (dE/dx)_{down}^{(i)} \end{bmatrix}$

**6. Example: selection of  $e^+e^- \rightarrow K^+K^-(\gamma)$  events for  $\sqrt{s} \in (1.28 \text{ GeV}; 1.65 \text{ GeV})$**

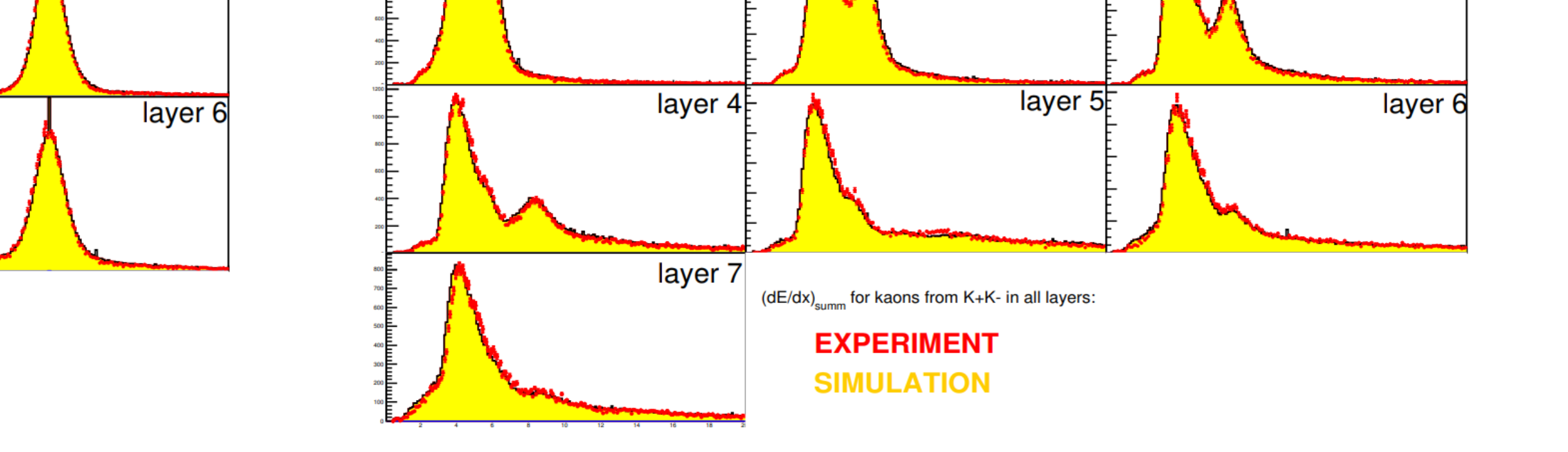
- We illustrate the efficiency of the developed PID technique by an example of selection of the events of  $e^+e^- \rightarrow K^+K^-(\gamma)$  process in the c.m. energy range from 1.28 to 1.65 GeV on the basis of 12.5  $pb^{-1}$  of integrated luminosity. We use the simulation of the events of signal and the major background processes ( $e^+e^- \rightarrow \pi^+\pi^-$ ,  $\mu^+\mu^-$ ,  $e^+e^-$  and cosmetics).
- We select the events having two oppositely charged DC-tracks with polar angles  $\theta_{DC} \in (0.9; \pi - 0.9)$ , satisfying the condition of collinearity in  $r - \phi$  plane:  $|\phi_{DC^1} - \phi_{DC^2} - \pi| < 0.15$ .
- The distribution of the averaged energy deposition of two charged particles in the calorimeter vs. the energy disbalance  $\Delta E = \sqrt{p_{x,K^+}^2 + m_K^2} + \sqrt{p_{x,K^-}^2 + m_K^2} + |p_{x,K^+} + p_{x,K^-}| - 2E_{beam}$  in the experiment and simulation is shown in Fig. 19. The term  $|p_{x,K^+} + p_{x,K^-}|$  is added to  $\Delta E$  to compensate the energy of ISR photons, emitted along beam axis. In addition to the clusters of  $K^+K^-$ ,  $\pi^+\pi^-$ ,  $\mu^+\mu^-$ ,  $e^+e^-$  final states the horizontal band of cosmic muons is seen.
- Further, Fig. 22a-b show the distributions of the  $(BDT_{K^+e^+} + BDT_{K^-e^-})/2$  parameter ( $\sqrt{s}=1.282$  and 1.65 GeV correspondingly). The shown cuts are used to suppress  $e^+e^-$  final state, see the result in Fig. 20.
- Then we apply the cut on  $(BDT_{K^+\mu^+} + BDT_{K^-\mu^-})/2$  to suppress cosmetics,  $\mu^+\mu^-$  and  $\pi^+\pi^-$  final states, see Fig. 23a-b. As a result we obtain almost pure sample  $e^+e^- \rightarrow K^+K^-(\gamma)$  events, see Fig. 21.
- Finally, using the selected  $K^+K^-$  events, we can prove the correctness of the  $dE/dx_{LXe}$  simulation for kaons, see Figs. 24-25.



**Figure 19.** The distribution of the averaged energy deposition of two charged particles in the calorimeter vs. the energy disbalance  $\Delta E$  for the selected events. All energy points are combined.  
**Figure 20.** The distribution of the averaged energy deposition of two charged particles in the calorimeter vs. the energy disbalance  $\Delta E$  for the selected events after  $e^+e^-$  suppression. All energy points are combined.  
**Figure 21.** The distribution of the averaged energy deposition of two charged particles in the calorimeter vs. the energy disbalance  $\Delta E$  for the selected events after  $e^+e^-$ ,  $\mu^+\mu^-$ ,  $\pi^+\pi^-$  and cosmetics suppression. All energy points are combined.



**Figure 22.** The distributions of the  $(BDT_{K^+e^+} + BDT_{K^-e^-})/2$  parameter (a -  $\sqrt{s}=1.282$  GeV, b - 1.65 GeV) in the experiment (red markers), simulation of  $e^+e^-$  (gray),  $\mu^+\mu^-$  (magenta),  $\pi^+\pi^-$  (turquoise),  $K^+K^-$  (yellow).  
**Figure 23.** The distributions of the  $(BDT_{K^+\mu^+} + BDT_{K^-\mu^-})/2$  parameter (a -  $\sqrt{s}=1.282$  GeV, b - 1.65 GeV) in the experiment (red markers), simulation of  $e^+e^-$  (gray),  $\mu^+\mu^-$  (magenta),  $\pi^+\pi^-$  (turquoise),  $K^+K^-$  (yellow).



**Figure 24.** The  $dE/dx_{diff}$  distributions in 7 layers for kaons from  $K^+K^-$  final state (after tuning).  
**Figure 25.** The  $dE/dx_{sum}$  distributions in 7 layers for kaons from  $K^+K^-$  final state (after tuning).

**7. Plans**

- We have no problems in the detector response simulation for m.i.p.s, but see some simulation-experiment discrepancy for showers. Presumably, it is caused by the correlated/anticorrelated variation of the transparency coefficient in the lower and upper layer. We plan to study these variations thoroughly using CST-simulation.
- We plan to apply the described technique to the data collected in the runs of 2017-2018 and to use it in the analyzes of the final states  $K^+K^-$ ,  $K^+K^-\pi^0$ ,  $K^+K^-2\pi^0$ ,  $K_s^0K^\pm\pi^\mp$ .